

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Children's Graduate Medical Education Payment Program

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer "No" to that question.

2 Summary of PIA Required Questions

Question	Response
1 System:	Children's Graduate Medical Education Payment Program
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Nov 2, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-06-02-1320-00
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Children's Graduate Medical Education Payment Program (CHGME PP) Database System (GME DS)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Jill Schmid
12 Provide an overview of the system:	The GME DS is a system that: 1) receives initial applications from hospitals that indicate the expected volume and complexity of services provided, 2) determine the initial allocation of available funds to hospitals, 3) issue award letters to the hospitals, 4) issue invoices to PSC that lead to monthly payments being made to the hospitals, 5)recieve mid year reconciliation applications from the hospitals that finalize the volume and complexity of services, 6) recalculate the allocation of funds to hospitals, 7)issue revised invoices, 8) generate reports for the various parties involved, and 9) maintain records of these activities. In the past this has been done manually, with the hospitals sending the applications via the mail with a disc to upload their applications to our system, with the modification the hospitals will be able to apply for funds via a web based application. the auditors will be able to access the system to do their annual audit of the applications.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The GME DS is a system that: 1) receives initial applications from hospitals that indicate the expected volume and complexity of services provided, 2) collects information on the amount of fte's that were trained in each hospital 3) auditors review applications and back up provided by hospital and reconcile the applications, 4)receive mid year reconciliation applications from the hospitals that finalize the volume and complexity of services, 5) recalculate the allocation of funds to hospitals and supply that information to each hospital, 6) generate reports for the various parties involved, and 9) maintain records of these activities. The information collected is not personal information, the hospitals name, address and the amount of fte's are included in the application. There is no financial information included in the application. There is no personal information on the fte's being claimed.
18 Describe the consent process:	N/A
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Children's Graduate Medical Education Payment Program

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Are there technical controls present?

-
- 23

Describe the IIF security controls:

No IIF on this system
- 24

Sr Official of Privacy Signature:

Cheryl Dammons
- 25

Sr Official of Privacy Signoff Date:

Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Data Warehouse

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question

Response

- 1 System:

Data Warehouse
- 2 Is this a new PIA?

Yes
- 3 If this is an existing PIA, please provide a reason for revision:

Initial PIA Migration to ProSight
- 4 Date of this Submission:

Oct 26, 2006
- 5 OPDIV Name:

HRSA
- 6 Unique Project Identifier (UPI) Number:

009-15-01-09-02-1350-00
- 7 Privacy Act System of Records (SOR) Number:

N/A
- 8 OMB Information Collection Approval Number:

N/A
- 9 Other Identifying Number(s):

N/A
- 10 System Name:

HRSA Geospatial Data Warehouse
- 11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:

Terri Cohen
- 12 Provide an overview of the system:

The HRSA Geospatial Data Warehouse is a publicly-available reporting tool available to all users on the Internet. It provides a single point of access to HRSA programmatic information, related health resources, and demographic data. This promotes information sharing, collaboration, and provides government-to-government, government-to-business and government-to-citizen services that have significantly improved both the efficiency and effectiveness of the agency in delivering its mission.

The result is uninterrupted global access to comprehensive, current data on HRSA programs, the majority of which focus on improving access to care for underserved people, and key health markers.

Existing
- 13 Indicate if the system is new or an existing one being modified:

No
- 14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?

No
- 15 Is the system subject to the Privacy Act?

Does not apply since we have no IIF.
- 16 If the system shares or discloses IIF please specify with whom and for what purpose(s):
- 17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:

We do not collect any IIF information. The HGDW disseminates information at summary and aggregated data from other sources. The data being made available consolidate and integrate data from over ten source systems and databases (including EHB, NIS, BCHDANet, offline Excel spreadsheets, and outside Agencies and vendors) into a single repository. This eliminates the need to develop and maintain separate reporting functions for each HRSA data system, thereby reducing the overall hardware, software, and FTE burden on HRSA; makes data and information regarding HRSA's activities available to all, over the Internet without requirements for passwords and user ids, using web browsers only (no special software or skills are required); promotes information sharing and collaboration among HRSA staff, HRSA partners, state and local health planners and policy makers, and stakeholders; promotes and enhances understanding of the data by putting the information in a broad geographic and demographic context; provides both text-based and visual output (in the form of interactive maps), which facilitate greater understanding of the information and the interrelationships between data sets; provides detailed metadata about the composition, meaning, and derivation of HRSA's data.

Does not apply since we have no IIF.
- 18 Describe the consent process:

Yes
- 19 Does the system host a website?

No
- 20 Does the website have any information or pages directed at children under the age of thirteen?

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: Data Warehouse

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Are there policies or guidelines in place with regard to the retention and destruction of IIF?

Yes
- 22

Are there technical controls present?

Yes
- 23

Describe the IIF security controls:

Does not apply since we have no IIF.
- 24

Sr Official of Privacy Signature:

Cheryl Dammons
- 25

Sr Official of Privacy Signoff Date:

Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: eBusiness Intelligence for Management and Decision Support (eRoom/Intranet/Training)

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	eBusiness Intelligence for Management and Decision Support (eRoom/Intranet/Training)
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Nov 3, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-09-02-1360-00
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Intranet/eRoom/Training
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Roger Straw
12 Provide an overview of the system:	The Intranet site is for Internal employee information and coordination. The eRoom and Training system support staff collaboration and distance learning efforts.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	No
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	N/A
18 Describe the consent process:	N/A
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No
22 Are there technical controls present?	-
23 Describe the IIF security controls:	N/A
24 Sr Official of Privacy Signature:	No IIF on the systems covered in this assessment Cheryl Dammons
25 Sr Official of Privacy Signoff Date:	Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Enhancement/Maintenance and Development of the Application Submission and Processing System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question

Response

1 System:	Enhancement/Maintenance and Development of the Application Submission and Processing System
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Nov 3, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-02-00-02-1370-00
7 Privacy Act System of Records (SOR) Number:	09-15-0066
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Enhancement/Maintenance and Development of the Application Submission and Processing System
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Debra A. Small
12 Provide an overview of the system:	<p>To help facilitate the submission of applications and processing review, SDB has installed an electronic database designed to streamline the application process and create standards and efficiencies in the application review. There will be approximately 60 State and U.S. territory users and 20 SDB users.</p> <p>The installed electronic database is known as the Application Submission and Processing System (ASAPS). It is based on Geographical Information System (GIS) software that utilizes maps, distance measurements, populations levels and sequential data to determine rated factors necessary for determining if an area, population or facility can be designated. ASAPS is a database available to State PCOs and SDB staff. It is Internet based, operates on Windows operating system and Microsoft SQL server and utilizes the Environmental Systems Research Institute (ESRI) ArcIMS (Internet Map Server) software. The server is in a secured environment at the HRSA offices in the Parklawn building in Rockville, MD.</p> <p>ASAPS will serve map data dynamically via the Internet and Intranet to support business processing. For example ASAPS will:</p> <ul style="list-style-type: none">- Clearly visualize geographic areas to be designated- View service area or planning alternatives- Correlate critical demographic information with specific locations- Evaluate the location and suitability of health resources- Access detailed information about providers and facilities- Access data layers defined by user to allow use of dynamic data. <p>ASAPS allows geographically dispersed users to access geographic data stored at HRSA and use this data in a business software application. The product of the software - an application for shortage designation - is then transmitted to SDB where it can be reviewed for accuracy.</p> <p>Existing</p>
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Enhancement/Maintenance and Development of the Application Submission and Processing System

16	If the system shares or discloses IIF please specify with whom and for what purpose(s):	Provider data is shared with the associated State Primary Care Offices.
17	Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>The most critical requirement for accurate designation determinations is accurate data on the location of primary care providers relative to the population. To this end, HRSA-BHPr-NCHWA continually tries to obtain the latest data on primary care providers and their practice locations at the lowest geographical level possible for use in the designation process, with the objective of minimizing the level of effort required on the part of States and communities seeking designations to meet various program requirements.</p> <p>Individual record data provides individual physicians, not only to counties, but also to the subcounty service areas. Data on actual time spent providing health care to patients is utilized to estimate the actual level of services available in these areas.</p> <p>It is also important to obtain name data for primary care providers for the use in resolving conflicts between national and State or local data that may arise in designation determinations,. Identifiers such as UPIN are extremely useful for use in comparing databases, such as unduplicating with lists of National Health Service Corps and Community Health Center providers.</p> <p>State data provided to OWEQA will be a significant part of the overall database used for determining population-to-provider ratios for shortage designation purposes.</p>
18	Describe the consent process:	<p>The submission of IIF to the states is voluntary.</p> <p>Data Use Agreement between HRSA and State Primary Care Office specifies that the states provide IIF to the system. It does not collect IIF directly from individuals so it does not notify individuals when there are major changes to the system. It does not notify individuals how their IIF is going to be used.</p>
19	Does the system host a website?	Yes
20	Does the website have any information or pages directed at children under the age of thirteen?	No
21	Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22	Are there technical controls present?	Yes
23	Describe the IIF security controls:	Provider data is only available to the associated State Primary Care Office. Only the database administrator has privileges to update, delete, or override provider database. The system uses SSL and encryption. Also used is Data Integrity/Validation Controls, documentation, Security Awareness and Training, Identification and Authentication, logical access controls, and audit trails.
24	Sr Official of Privacy Signature:	Cheryl Dammons
25	Sr Official of Privacy Signoff Date:	Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: Health Center Management Information System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer "No" to that question.

2

Summary of PIA Required Questions

Question

Response

1 System:	Health Center Management Information System
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Sep 5, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-09-02-1390-00
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Health Center Management Information System (HCMIS)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Colleen Meiman
12 Provide an overview of the system:	<p>HCMIS is a set of Microsoft Access databases that provide Health Center Project Officers in the HRSA/BPHC Division of Health Center Management with "one-stop shopping" access to the data needed to conduct their daily functions. This data includes the Uniform Data System (UDS), President's Initiative application status, grantee audit reviews, project and budget period, grant award amounts, grant funds draw-down, change in scope request status, BPHC "Alert List" status, grantee accreditation, FTCA deeming, Chronic Disease Collaborative participation, and other summary data.</p> <p>Existing</p>
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	<p>The information (not IIF) contained in HCMIS is accessible to approved users located in:</p> <ul style="list-style-type: none">- all divisions of HRSA's Bureau of Primary Health Care (BPHC)- the following other HRSA offices: the Division of Financial Integrity, the Office of Grants Management, the BHP Office of Pharmacy Affairs. The purpose for allowing this data sharing is to make it easier to contact- Work is currently underway to expand access to this data to staff from the Office of Performance Review who are located in the regional offices.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>HCMIS makes available individualized and summarized grant award, annual audit, and annually reported grantee utilization data on Federally -funded health centers. HCMIS maintains data received from the Uniform Data System and the BHCDANet database system that includes the name, business telephone number, and email address of a grantee's Chief Executive Officer, Medical Director, and Contact person as well as the address of each grantee's administrative office and satellite clinic sites. The name of the grantee's Board Chairperson is also maintained without an associated telephone number or email address. This information is primarily provided to and updated by Project Officers in the BPHC Division of Health Center Management to enable them to contact key staff in the grantees assigned to them as Project Officers and is used for generating mass mailings and email messages to grantees as necessary. All users of HCMIS have the ability to view this information. Grantees are required to submit the names and contact information in their annual UDS reports and their grant applications.</p>

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: Health Center Management Information System

18 Describe the consent process:	<p>The information contained in HCMIS is collected from 3 sources:</p> <p>1. The Uniform Data System (UDS). This system disseminates privacy policy information that informs individuals how their IIF will be used and shared.</p> <p>2. Grant applications and related documents. We assume that the general information provided to grant applicants states that the information they provide will be collected and used for grants administration purposes.</p> <p>3. Direct contact between grantees and HRSA/BPHC Project Officers. For example, a grantee will e-mail their PO to inform them of a change of address or leadership, and the PO will update the information in HCMIS.</p> <p>There are currently no processes in place to notify or obtain consent from individuals when major changes are made to HCMIS or the information is used in a new manner. However, there are no major changes planned to the HCMIS system until the new Legacy System becomes operational, and no anticipated changes to how the data is used.</p> <p>These information collected and maintained are not IIF.</p>
19 Does the system host a website?	No
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	<p>HCMIS is hosted on the HRSA OIT network and on HRSA OIT servers. It is only accessible by persons to whom HRSA OIT has provided accounts on the HRSA OIT network and given network user rights to access the shared folders where the HCMIS databases are hosted. Staff in the BPHC Division of Clinical Quality (now the Office of Quality and Data) are responsible for tracking/monitoring FTCA Deeming and accreditation status of grantees. They have read/write/update rights to the FTCA deeming and accreditation data in HCMIS. The BPHC contracted CPAs have read/write/update rights to the financial audit data because they are the ones that review the audits and enter the audit review information into HCMIS. Staff in the Division of Policy and Development have read/write/update rights to the President's Initiative database that tracks applications received under the New Access, Expanded Medical Capacity, and Service Expansion grant programs because this office is responsible for tracking and processing these applications. One of the secretaries is responsible for maintaining the staff list in HCMIS so she is the only one that can use the Project Officer assignment function in HCMIS. Currently, all HCMIS data is maintained in Microsoft Access databases which are not encrypted or secured.</p>
24 Sr Official of Privacy Signature:	Cheryl Dammons
25 Sr Official of Privacy Signoff Date:	Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA C.W. Bill Young Cell TransplantationProgram

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question

Response

1 System:	HRSA C.W. Bill Young Cell TransplantationProgram
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	SEE COMMENTS (240-97-0036)
7 Privacy Act System of Records (SOR) Number:	09-15-0064
8 OMB Information Collection Approval Number:	TBD
9 Other Identifying Number(s):	N/A
10 System Name:	HRSA C.W. Bill Young Cell Transplantation Program
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Shelley Tims
12 Provide an overview of the system:	NMDP uses its proprietary Search, Tracking, and Registry (STAR®) System as the critical system to collect donor and cord blood information, to manage and facilitate all patient searches, and to track detailed post-transplant clinical status. Data retention includes: donor demographic data, Human Leukocyte Antigen (HLA) typing data, search process data, Network center management data, and clinical outcome data.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	The C.W. Bill Young Cell Transplanation Program shares medical information in an attempt to find matches, but does not share the associated information about the individual, and such information can not be obtained through other sources
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The C. W. Bill Young Cell Transplantation Program will collect medical information of patients needing a blood stem cell transplant, and on people who volunteer to donate blood stem cells. The information collected is used to match potential donors with those in need. HRSA does not collect, maintain or disseminate IIF. The contractor for the C.W. Bill Young Cell Transplantation Program does collect IIF including name, address, and phone numbers. It is optional whether select to provide their social security numbers and/or email addresses. All IIF are used to assist in locating a potential donor if they are found to be a match for a patient in need of a life saving blood stem cell transplant.
18 Describe the consent process:	The IIF is given voluntarily from persons who register as potential donors of blood stem cells. The individual information is correlated to blood samples of that individual. NMDP obtains consent when potential donors first register with NMDP. NMDP contacts potential donors through phone or postal mail to re-obtain consent when their information is request to be used outside of the scope of the original consent granted.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA C.W. Bill Young Cell TransplantationProgram

23	Describe the IIF security controls:	The IIF is protected in transmission by using secure protocols. Individuals with access to the system have a user ID, password and a Secure ID.
24	Sr Official of Privacy Signature:	Cheryl Dammons
25	Sr Official of Privacy Signoff Date:	Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Comprehensive Performance Management System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	HRSA Comprehensive Performance Management System
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-19-02-0000-00-404-142
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	0915-0061
9 Other Identifying Number(s):	N/A
10 System Name:	HRSA Comprehensive Performance Management System
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Mark Wheeler
12 Provide an overview of the system:	The CPMS-UPR is a web-based data collection and reporting system. CPMS-UPR web-based system provides grantees online forms to supply BHPr with the required data to complete the Comprehensive Performance Management System (CPMS) Report and the Uniform Progress Report (UPR). The CPMS forms are used to measure outcomes of the Bureau's Title VII and VIII health professions nursing education programs. The UPR report summarizes the grantees' progress in meeting their grant objectives. Existing
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	This information is not shared.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The annual reports to Congress disclose the: * Actual performance achieved compared to the goals expressed in the Annual Performance Plan, * Reasons why a goal may not have been met, and * Describe future plans and provide a schedule for meeting the goal. CPMS does not collect or disseminate IIF. It only maintains the SSN in the system to track grants. Submission of SSN is voluntary. The grant application process does not currently require an individual to supply individual information whether applying in paper or electronic form.
18 Describe the consent process:	There is no need to notify the grantees or obtain their consent for major system changes, as we do not collect personal information.
19 Does the system host a website?	No
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Comprehensive Performance Management System

23	Describe the IIF security controls:	<p>Clients access CPMS data using secured sockets layers (SSL) and are required to specify https when attempting to connect with the application. CPMS also protects its data using two-tier virus protection on email servers, web-servers and workstations. CPMS also requires password complexity for access to the application. The system is housed in a government facility with physical controls. Access to the HEAL office space is controlled with a building pass card swipe scanner. In addition to agency-level training requirements, CPMS-specific training exists. The system also tracks those users that have accessed the CPMS with the following user authentication actions are logged:</p> <ul style="list-style-type: none">• Acceptance of Rules of Behavior• Rejection of Rules of Behavior• Attempted/failed logins• User lock-outs caused by excessive failed logins• Password changes• Successful logins• Log outs• Attempted concurrent logins• Timeout followed by requests with expired session• Successful Registration / Unsuccessful Registration• User account termination
24	Sr Official of Privacy Signature:	Cheryl Dammons
25	Sr Official of Privacy Signoff Date:	Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Electronic Handbooks_fisma

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question

Response

1 System:	HRSA Electronic Handbooks_fisma
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-04-00-02-1010-00-404-138
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	HRSA Electronic Handbooks
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Nancy McGinness
12 Provide an overview of the system:	HRSA EHBs serve as a tool to ensure that the grants are managed efficiently and in compliance with mandated agency-wide and federal policies, procedures and legislation. Currently it provides automated support for Planning/Solicitation, Submission, Award and Negotiation phases in the grants office, program office, financial office and external organizations. In future HRSA EHBs would support Review and Selection, Post Award or Project Management and Closeout phases of the program management lifecycle.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	No IIF in the system.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	HRSA EHBs collects information from individuals who are applying for grants. It collects entity name and address information (no Social Security Numbers) to verify the submitted information prior to grant award, and to track conformance to the grant conditions.
18 Describe the consent process:	The entity -related information may be collected directly from the individuals making application over the Internet or by paper submissions. Applicants are told that this information becomes public knowledge upon grant award. Through the system users are made aware of changes during the grants applications process.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Electronic Handbooks_fisma

- 23 Describe the IIF security controls:

There is no IIF in the EHB. However, general systems controls include the following: EHB provides data protection and integrity by determining whether the value of the field is within an acceptable range or has an acceptable format (i.e. zip code needs to be 5 digits long) and determining whether interrelated fields satisfy the corresponding constraints (i.e. a zip code should be consistent with the state). Anti-virus protections are also inplace for example • Virus definition updates are performed at least bi-weekly by DNS
• Full system scans are performed automatically on a weekly bases
• Real-time file system scanning is enabled EHB also provides internal users are given a system ID when requested by their supervisor and approved by the System Owner. The internal system user establishes his/her individual password that is used for authentication. The system uses strong encryption for all communications (HTTPS) from the time the user logs on until they log off. EHB also has a complex password policy in order for users to login.
Cheryl Dammons
Oct 18, 2006
- 24 Sr Official of Privacy Signature:
- 25 Sr Official of Privacy Signoff Date:

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA General Support Systems

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	HRSA General Support Systems
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	-
4 Date of this Submission:	Jan 16, 2007
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-02-00-02-1060-00-404-139 (GSS) (HRSA OIT GSS)
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	HRSA OIT General Support System (GSS)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Jim Von Bargaen
12 Provide an overview of the system:	Provides common connectivity and print services.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	This system does not collect or store data; it is a support system only.
18 Describe the consent process:	N/A
19 Does the system host a website?	No
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	Security controls for this system include redundant Cisco firewalls; redundant intrusion monitoring systems including Securify and Proventia; 24x7 monitoring of the perimeter defenses; antivirus systems with automatic updates for both workstations and servers from McAfee and Symantec; Ad-aware anti-spyware software; and routine certification and verification activities. Access is limited to those requiring access to the system and is protected by username/password controls with enforced complexity requirements.
24 Sr Official of Privacy Signature:	Cheryl Dammons
25 Sr Official of Privacy Signoff Date:	Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Health Education Assistance Loan Program

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	HRSA Health Education Assistance Loan Program
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Jan 11, 2007
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-20-01-14-02-1040-00-206-084
7 Privacy Act System of Records (SOR) Number:	09-15-0044
8 OMB Information Collection Approval Number:	0915-0036
9 Other Identifying Number(s):	N/A
10 System Name:	Health Education Assistance Loan Program (HEAL) Online Processing (HOPS)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Nancy Durham
12 Provide an overview of the system:	HOPS is an automated system that tracks and maintains HEAL-related loan information. HEAL information consists of: Borrowers; Loans; Claims; Litigations against defaulted loans; Lenders; and Educational Institutions receiving loan funds. Loan servicing organizations use HOPS information to update and verify the accuracy or status of loan guarantees.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	Loan Servicers for verification of loan data. HEAL and Division of Financial Operations staff to process claims and claim payments.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The purpose of the system is 1) to identify students participating in the HEAL program 2) to determine eligibility of loan applicants and to compute insurance premium for federal insurance 3) to monitor the loan status of HEAL recipients, which includes the collection of overdue debts owed under the HEAL program 4) to compile and generate managerial and statistical reports 5) process claims and 6) produce an annual report that contains aggregate information but no individual borrower can be identified in this report. The categories of records in the system contains name , SSN, birth date, demographic background, educational status, loan location and status, and financial information about the individual for whom the record is maintained, lender and school identification. Disclosure of the applicant's SSN is mandatory for participation in the HEAL program as provided for by Section 4 of the Debt Collection Act of 1982.
18 Describe the consent process:	Applicant Form HRSA-700 states the SSN will be used to verify the identity of the applicant and as an account number throughout the life of the loan to record necessary data accurately. Applicants are advised that failure to provide his/her SSN will result in the denial of the individual to participate in the HEAL program. To find out if the system contains records about an individual system manager is contacted by a request in person that requires at least one tangible identification card; or request by mail containing the name and address of the requester, birth date, at least one tangible identification card, and signature.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Health Education Assistance Loan Program

- 22 Are there technical controls present?

23 Describe the IIF security controls:

24 Sr Official of Privacy Signature:

25 Sr Official of Privacy Signoff Date:
- Yes

HEAL-HOPS was recertified and accredited in June 2006. HEAL relies on network security controls provided by the HRSA GSS. The system uses strong encryption for all communications (HTTPS) from the time the user logs on until they log off. Usernames and passwords are sent encrypted as well as all data transferred during the session. This is accomplished using Secure Sockets Layer (SSL) technology. The system is housed in a government facility with physical controls. Access to the HEAL office space is controlled with a building pass card swipe scanner. PII is transmitted to HRSA using encrypted, secure protocols. The concept of "least privilege" provides users a minimal set of system access rights based on their role. Access to additional resources or information is granted upon approval of by the resource owner (supervisor). Unique UserIDs and passwords permit only authorized users to access the system. Select users are individually assigned write, create and update privileges to loan data based on their functional role. Accounts are reviewed annually to ensure that least privilege is granted, and roles and responsibilities have not changed. OIT provides connectivity to the HRSA LAN access to the HEAL-HOPS System by authorized Internal Users, and by authorized Internet Access for External Users. There is no information available for use by the general public. An "inactivity time out" capability disables unattended computers to prohibit unauthorized access to PII. All authorized system users agree to the systems "Rules of Behavior" during the log in process. The Statement of Work (SOW) provides guidance for contractors to comply with HEAL-HOPS security requirements. The contractor shall comply with existing federal and departmental laws, regulations, and requirements. All contractors and federal users are now required to sign a Rules of Behavior agreement approved by the HRSA /OIT security section.

Cheryl Dammons

Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA National Practitioner Data Bank/Healthcare Integrity and Protection Data Bank

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	HRSA National Practitioner Data Bank/Healthcare Integrity and Protection Data Bank
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-06-01-1010-00-110-247
7 Privacy Act System of Records (SOR) Number:	09-15-0054
8 OMB Information Collection Approval Number:	NPDB: 0915-0126, HIPDB: 0915-0239
9 Other Identifying Number(s):	N/A
10 System Name:	National Practitioner Data Bank (NPDB) and Healthcare Integrity and Protection Data Bank (HIPDB)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Darryl Gray
12 Provide an overview of the system:	The NPDB provides a nationwide database that makes adverse information on physicians, dentists, and other health care practitioners available to health care entities, hospitals, professional societies, and State licensing boards. The HIPDB is a national database the provides information on health care related convictions and judgments, licensure actions, exclusions from government programs and other adjudicated actions.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	The NPDB/HIPDB program shares information with the Registered Entities in accordance with Congressional mandate and Federal law.

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA National Practitioner Data Bank/Healthcare Integrity and Protection Data Bank

- 17

Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:
- Federal law requires that health care entities, hospital, professional societies and State licensing boards report adverse information (health care related convictions and judgments, licensure actions, exclusions from government programs and other adjudicated actions) on physicians, dentists and other health care practitioners to the NPDB/HIPDB. The information must identify the specific practioner and is not voluntary.

Why We Collect Your Personal Information:

-Information is vital to the existence of the Data Banks. Without collecting the information contained in the Data Banks our mission could not be fulfilled. This information facilitates the tenants of our mission, including protecting the public and providing quality health care.

-We do not use the information for any other secondary purpose.

-We only collect the information necessary to fulfill our mission. No other information is collected.

What personal information we collect:

We only collect enough information to serve the mission of the Data Banks. We collect the following personal information on subjects of NPDB and HIPDB reports and queries.

-Name

-Date of Birth

-Social Security Number

-Mailing Addresses

-Phone Numbers

-E-mail Addresses

-Education Records

The information must identify the specific practitioner and is not voluntary.
- 18

Describe the consent process:
- The NPDB provides a nationwide database that makes adverse information on physicians, dentists, and other health care practitioners available to health care entities, hospitals, professional societies, and State licensing boards. The HIPDB is a national database the provides information on health care related convictions and judgments, licensure actions, exclusions from government programs and other adjudicated actions. These entities are required to report information to this database, and the individual that is the subject of the report has the ability to recieve a copy of the file. Data is to be shared only with the Registered Entities, and new entities are investigated before recieving access.

We communicate via data Bank Correspondence, quarterly Newsletter, Informational Web Site Postings, and User Review Panel meetings.
- 19

Does the system host a website?
- Yes
- 20

Does the website have any information or pages directed at children under the age of thirteen?
- No
- 21

Are there policies or guidelines in place with regard to the retention and destruction of IIF?
- Yes
- 22

Are there technical controls present?
- Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA National Practitioner Data Bank/Healthcare Integrity and Protection Data Bank

- 23 Describe the IIF security controls:
- NPDB/HIPDB was recertified and accredited in June 2006, including a Privacy Impact Assessment (PIA). NPDB/HIPDB relies on network security controls provided by the contractor managed off-site GSS. The NPDB/HIPDB implements firewalls, network and host base intrusion detection to secure its facilities. Boundary entry points are controlled by firewall rules and protected by Intrusion Detection Servers to prevent unauthorized access. All traffic to the NPDB-HIPDB web servers is encrypted using 128-bit SSL in the production environment. The NPDB-HIPDB system uses pay.gov to process credit card transactions. It is an Internet system where the NPDB-HIPDB originates Secure Hyper Text Transfer Protocol (HTTPS) requests for billing and receives HTTPS responses.
- The IIF is secured through the use of a secure commercial facility, and transmission lines.
- The NPDB-HIPDB system supports external (end-user) and internal user groups that are controlled by permissions, rights, and level of access.
- Employees of the covered entities are advised of the legal consequences of misuse of NPDB/HIPDB information. NPDB-HIPDB personnel (internal users) are briefed on the sensitivity of NPDB-HIPDB information and the requirements for its protection. Prior to gaining access, employees are required to sign the NPDB-HIPDB Non-Disclosure Statement, acknowledging understanding of their responsibilities and consequential penalties for non-compliance. External users (customers) are required to sign registration forms before they are granted access to the system. Upon accessing the web site, users are also informed, via sign-on warnings, that unauthorized use can subject the user to fine and imprisonment under Federal Statute. The contractor shall comply with existing federal and departmental laws, regulations, and requirements.
- Physical access controls such as cipher locks, man traps with biometric scanners, badges, etc. in place.
- 24 Sr Official of Privacy Signature: Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date: Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Nursing Information System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	HRSA Nursing Information System
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Mar 5, 2007
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-09-02-1430-00-110-247
7 Privacy Act System of Records (SOR) Number:	09-15-0037
8 OMB Information Collection Approval Number:	NELRP OMB 0915-0140; NSP OMB 0915-0226
9 Other Identifying Number(s):	N/A
10 System Name:	Nursing Information System
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Terri L. Cohen
12 Provide an overview of the system:	<p>The BHPPr provides scholarships and loans in exchange for service in underserved communities and increasing access to comprehensive primary and preventive health care and for improving the health status of underserved and vulnerable populations. The mission of BHPPr in relation to the following two programs is to provide automated support necessary to carry out program functions efficiently for HRSA.</p> <p>Existing</p>
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	<p>HRSA OIT for the HGDW, UFMS for obligations and payment of tuition and fees to schools and Lyceum for payroll for payment of stipend and other reasonable costs for Nursing Scholarship Program scholars. The original IIF is found only in NIS. Some systems share information provided by NIS, but since NIS is the source of the data the information is compelled to match. It should be mentioned that the most sensitive information is not passed on to other systems (passwords, SSNs, etc.)</p> <p>The Division of Nursing (DN), BHPPr/HRSA currently has the functionality within the NIS application to process data concerning the Nursing Education Loan Repayment Program (NELRP). The current process collects application data via a web based front end, provides a process for staff to edit and manage the data, provides functionality that ranks, awards, generates documents needed to obligate funds, and generates an electronic file that is used to create the disbursement of monies. In addition, the functionality also provides for a suite of management reports and functionality to export program data out of NIS. The data contains mandatory personal information related to the applicant, ssn, address, school, loan and bank information</p>
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>The Division of National Health Service Corps (DNHSC), BHPPr/HRSA currently has the functionality within the NIS application to process applications for the Nursing Scholarship Program (NSP). The current process collects the application data via a web based front end provides a process for staff to edit and manage the data, which includes functionality that ranks, awards, obligates and generates an electronic file that is used to create the disbursement of monies. The data contains mandatory personal information related to the applicant, ssn, address, and school information.</p>

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Nursing Information System

- 18 Describe the consent process:

Prior to actually registering individuals are asked to read the rules or behavior, non disclosure, and Acceptable Use Policy posted on the site. They can only proceed into the system if they choose the "I ACCEPT" button on the screen which allows them to move forward. If individuals choose not to accept, then they are returned to the general information screen. This is a field that is stored in the database. Since this system is based on cycles, the data field is checked for each new cycle year and forces them to re-acknowledge/reaccept.
- 19 Does the system host a website?

Yes
- 20 Does the website have any information or pages directed at children under the age of thirteen?

No
- 21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?

Yes
- 22 Are there technical controls present?

Yes
- 23 Describe the IIF security controls:

All NIS user information is secured in a SQL Server database and protected via role permissions and SQL Server authentication. External (non-HRSA) personnel are only able to view/edit their own information. Internal users (HRSA personnel) are allowed to view information based on one of several pre-defined roles defined in the NIS administrative system. Only a select few users have the ability to manipulate these roles and permission to view external user's information.
- 24 Sr Official of Privacy Signature:

In addition to the above safeguards, the NIS will be encrypting Social Security Number, Passwords and Date of Birth using 128-bit encryption and unique, randomly-generated 50 character keys for decryption for every user account. Users are locked out after 3 unsuccessful login attempts and can only be unlocked by contacting the appropriate HRSA personnel (Generally the HRSA Call Center). Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA OPAIS 340B Database

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question

Response

- 1 System:

HRSA OPAIS 340B Database
- 2 Is this a new PIA?

Yes
- 3 If this is an existing PIA, please provide a reason for revision:

Initial PIA Migration to ProSight
- 4 Date of this Submission:

Nov 3, 2006
- 5 OPDIV Name:

HRSA
- 6 Unique Project Identifier (UPI) Number:

009-15-01-06-02-1450-00
- 7 Privacy Act System of Records (SOR) Number:

N/A
- 8 OMB Information Collection Approval Number:

N/A
- 9 Other Identifying Number(s):

N/A
- 10 System Name:

HRSA Office of Pharmacy Affairs Information System
- 11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:

Sharley Chen
- 12 Provide an overview of the system:

The Office of Pharmacy Affairs Information System (OPAIS) consists of (1) a Public Website containing databases for 340B Covered Entities, Manufactures, Contracted Pharmacies; and (2) 340B Pricing System to calculate ceiling prices.

The 340B Pricing System is an Application that calculates the actual 340B ceiling prices for all drugs in the 340B Drug Discount Program. The calculated ceiling prices are classified information and to be used only by a select number of people within the Office of Pharmacy affairs. Thus, the Pricing System will be hosted on a locked computer with no connection to the Internet located in HRSA headquarters and secured by HRSA OIT. The Pricing System will support approximately 3-5 users who require password access to activate both the computer and the Pricing System.

The 340B Public Website is an Application that stores information on the Covered Entities, Contracted Pharmacies, and Manufacturers which are participating in the 340B Drug Discount Program. This information is for public dissemination most especially for Manufacturers and Wholesalers who reference the 340B Public Website to check if a Covered Entity or Contracted Pharmacy is participating in the 340B Drug Discount program and eligible for discounted prices. The Public Website supports approximately 12,000 public users who query the system for information, 300-400 public users who log into the system to verify their address information, and approximately 20 administrative users who log into the administrator section of the site for record entry and maintenance purposes. The Public Website is located on two servers (a database and web server) at HRSA OIT headquarters in Rockville, MD. HRSA OIT is responsible for the backup and maintenance of both servers.
- 13 Indicate if the system is new or an existing one being modified:

Existing
- 14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?

No
- 15 Is the system subject to the Privacy Act?

No
- 16 If the system shares or discloses IIF please specify with whom and for what purpose(s):

N/A
- 17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:

N/A
- 18 Describe the consent process:

N/A
- 19 Does the system host a website?

Yes
- 20 Does the website have any information or pages directed at children under the age of thirteen?

No

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.



HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA OPAIS 340B Database

- 21

Are there policies or guidelines in place with regard to the retention and destruction of IIF?

No
- 22

Are there technical controls present?

-
- 23

Describe the IIF security controls:

N/A
- 24

Sr Official of Privacy Signature:

Cheryl Dammons
- 25

Sr Official of Privacy Signoff Date:

Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Organ Procurement and Transplantation Network

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	HRSA Organ Procurement and Transplantation Network
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-13-01-1030-00-110-031
7 Privacy Act System of Records (SOR) Number:	09-15-0055
8 OMB Information Collection Approval Number:	0915-0157
9 Other Identifying Number(s):	N/A
10 System Name:	Organ Procurement and Transplantation Network (OPTN)
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Virginia McBride
12 Provide an overview of the system:	<p>OPTN's primary function is matching donated human organs to potential recipients. It is the only system in the country that serves this function for heart, lung, liver, pancreas, intestine, and kidney transplants. As part of this function, the OPTN systems maintain the active list of patients waiting for transplants.</p> <p>Existing</p>
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	United Network for Organ Sharing (UNOS) will share information with HRSA, SRTR and with Medicare according to Federal Law.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>Information is obtained from medical personnel at organ transplantation centers. The information is voluntary, but a requirement for the program.</p> <p>The information collected in UNetSM is for the continued operation and improvement of the National Organ Procurement and Transplantation Network (OPTN). This information assists transplant centers, organ procurement organizations and histocompatibility laboratories throughout the United States with matching, transporting and sharing organs. The information entered into UNetSM is used to match transplant candidates to organ donors; electronically notify transplant programs of available compatible organs; and collect data on transplant candidates, deceased and living donors, eligible donors, and transplant recipients. The submission of personal information is mandatory for the OPTN/UNOS member institutions.</p>
18 Describe the consent process:	<p>The information is taken from medical records. It is used to correlate those needing organs with donor organs as they become available based on strict guidelines.</p> <p>The UNetSM System is accessed by specified employees of the OPTN/UNOS member institutions. Those member institutions are notified by UNOS when a major change occurs in the UNetSM System. UNOS does not collect and maintain contact information for individuals. Therefore, consent and notification of collection of data are performed by the member institutions who have direct contact with the individuals on whom IIF is being collected.</p>
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Organ Procurement and Transplantation Network

- 23 Describe the IIF security controls:

To support the OPTN business function and protect PII, OPTN uses a three-tiered system to protect PII (1) the top layer is a web browser (examples include Microsoft's Internet Explorer or Mozilla's Firefox) using SSL technology with 128 bit encryption to protect the data transfer. (2) layer two or the middle layer are the web servers, in this instance OPTN uses multiple web servers running Microsoft's "Network Load Balancing" software to provide redundancy. (3) The third and final layer is the data layer which includes application servers and SQL database servers where OPTN uses Microsoft's Clustering software for the SQL servers. OPTN (developers of the system) made available a "system security policy and rules of behavior document" for all users. The rule of least privilege is executed by having the least amount of ports open, and running the least amount of protocols possible to accomplish tasks. OPTN employs virus protection mechanisms at critical information system entry and exit points. Finally, system accounts are reviewed yearly.
- 24 Sr Official of Privacy Signature:

Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Scientific Registry of Tranplantation Recipients

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	HRSA Scientific Registry of Tranplantation Recipients
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-19-03-1300-00-404-142
7 Privacy Act System of Records (SOR) Number:	09-15-0055
8 OMB Information Collection Approval Number:	OMB Number -0915-0157
9 Other Identifying Number(s):	N/A
10 System Name:	Scientific Registry of Transplant Recipients
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Gregory Fant PhD
12 Provide an overview of the system:	<p>The SRTR is a research and statistical analysis contract that supports the ongoing evaluation of the scientific and clinical status of solid organ transplantation including kidney, heart, liver, heart-lung, lung, and pancreas transplants. At present the SRTR contains information on approximately 500,000 transplant recipients and transplant candidates starting in 1988. The data begins with the listing of each transplant candidate on a transplant waiting list and continue through follow-ups of the patient after the transplant. The SRTR provides analytical support to the Division of Transplantation, the Secretary's Advisory Committee on Organ Transplantation, and the committees of the Organ Procurement and Transplantation Network. The SRTR provides center-specific reports to all of the transplant programs and organ procurement organizations in the U.S.</p> <p>Existing</p>
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	Data with identifiers may be provided to other researchers with approval of the SRTR Scientific Advisory Committee, the HRSA project officer and an Information Reveiw Board and after execution of a data use agreement. Specific patients of a transplant center may be identified by communications that transplant centers are using their center-specific reports.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	When people make a request for information via the web site, they voluntarily give contact information with an understanding that it will be used to reply. All other IIF is obtained from OPTN, and is covered by their privacy assessment.
18 Describe the consent process:	Data about transplant candidates and recipients from the OPTN and from CMS are required. The information will be used for analytical support to HRSA, the OPTN, and outside researchers. Additionally, basic contact information (name, mailing address, telephone number, email address) is collected from data requestors.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Scientific Registry of Tranplantation Recipients

- 23 Describe the IIF security controls:

All SRTR PII data is maintained on a private system without online interconnections to other systems. Data is received from United Network for Organ Sharing periodically. Privacy data (including SSN) is contained in an Analysis File. From the Analysis File, a Standard Analysis File (SAF) is created. The SAF does not contain Privacy Information. It is the SAF file that is used in analyses and released to other researchers, if appropriate. Statisticians and researchers must have approved studies with IRB approval in order to have access to patient identifiable data or non-patient identifiable data in a the SAF. The statisticians and researchers who have access to the SAF do not have access to the Analysis File. All programmers with access to PII are trained in the proper destroying of any printouts with any PII that they may have used. computer systems are implemented with the security of the data considered in every design and implementation decision for example, websites restrict access via SSL and workstations that automatically lock after 10 minutes.
- 24 Sr Official of Privacy Signature:

Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA Title V Information System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question

Response

1 System:	HRSA Title V Information System
2 Is this a new PIA?	No
3 If this is an existing PIA, please provide a reason for revision:	PIA Validation
4 Date of this Submission:	Oct 13, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-19-02-0000-00-404-142 (TVIS)
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	0915-0172
9 Other Identifying Number(s):	N/A
10 System Name:	Title V Information System
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Scott Snyder
12 Provide an overview of the system:	MCHB administers the Title V Block Grant Program, through which approximately \$600 million is currently distributed to the 59 U.S. States and territories and the District of Columbia. All grantee entities (e.g., States) are required to submit required reporting annually to comply with the Federal Guidance. To fulfill these requirements, the State must submit required forms and an annual report. Grants are given to states to provide healthcare. Data is aggregated by the states and reported to HRSA via the TVIS system.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	There is no IIF in TVIS.
18 Describe the consent process:	N/A
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	No
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	N/A
24 Sr Official of Privacy Signature:	Cheryl Dammons
25 Sr Official of Privacy Signoff Date:	Oct 18, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: HRSA.gov Information Dissemination Activities

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2

Summary of PIA Required Questions

Question	Response
1 System:	HRSA.gov Information Dissemination Activities
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Nov 3, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-09-02-1490-00
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	HRSA.gov: The HRSA Enterprise Web
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Joni Johns
12 Provide an overview of the system:	The system is the HRSA public Web site. It provides information and data on the Agency and its programs.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	No
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	N/A
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	hrsa.gov does not collect information through hrsa.gov other than the minimum amount of information necessary to respond to voluntary individual requests for information about the Agency and its programs, eg. e-mail requests for more information or Webmaster e-mail requesting assistance using the Web site.
18 Describe the consent process:	System does not store or share IIF.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	Yes
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	System does not collect or store IIF.
24 Sr Official of Privacy Signature:	Cheryl Dammons
25 Sr Official of Privacy Signoff Date:	Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Information Center

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The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2	Summary of PIA Required Questions
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Question	Response
1 System:	Information Center
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Oct 31, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-09-02-1400-00
7 Privacy Act System of Records (SOR) Number:	09-15-0070
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	HRSA Information Center
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	David Bowman
12 Provide an overview of the system:	The system is used by people requesting information to be sent to them from the HRSA Information Center. It collects information about what is being ordered and where and to whom to send it. Existing
13 Indicate if the system is new or an existing one being modified:	
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	Designated project staff and relevant/appropriate HRSA staff
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	The system collects information necessary (name, address) to address customer service issues and to disseminate free informational materials to people requesting it via phone, fax, e-mail, Web, or in person. All information is provided voluntarily. The information does not include a person's personal health information, education, social security number, financial transactions, medical history, and criminal or employment history.
18 Describe the consent process:	The information is collected from requestors by information specialists or requestors voluntarily entering the information on a Website for ordering materials. Requestors voluntarily provide contact information for the mutually expressed purpose of making it possible for the Information Center to send (and when appropriate, follow up on) requested materials, and for the requestor to receive them.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Information Center

- 23 Describe the IIF security controls:

Policies are place which limit the retention of personal information from individuals who obtain publications at the HRSA Information Center to a one-year period, after which this information is purged from the database on which it is housed. The information is collected from requestors by information specialists or requestors voluntarily entering the information on a Website for ordering materials. Requestors voluntarily provide contact information for the mutually expressed purpose of making it possible for the Information Center to send (and when appropriate, follow up on) requested materials, and for the requestor to receive them. Note that this information is captured and kept for this period of time to better address customer issues, including previous requests for order information, and to correct customer orders (return mail, etc.) This information is kept secure by means of several technical and physical security safeguards and procedures, including: key card access is required for all employees to physically access the server on which the information is stored; employee access to the system is controlled and protected by requirements that include having a proper user id and password; while the system itself resides in a secure environment protected by firewall and an intrusion detection system. The contractors also review security logs on a regular basis.
- 24 Sr Official of Privacy Signature:

Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: National Health Service Corps Information Systems / Customer Support Systems

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	National Health Service Corps Information Systems / Customer Support Systems
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Oct 26, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-06-02-1420-00
7 Privacy Act System of Records (SOR) Number:	09-15-0037
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Bureau of Health Care Delivery and Assistance (NET) - BHCDAnet hereafter referred as B-net
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Makinde, Michael (HRSA) - 301.443.1652; Beals, Debra (HRSA) - 301.443.3955; Hoyt Carelock, Jr. (NIH) 301-435-5488
12 Provide an overview of the system:	BHCDAnet is an automated management information system designed to meet the special data maintenance and reporting requirements of the NHSC.
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	Program staff and contractors use IIF information within the scope of their job function to support the day to day operations of the NHSC. Program contractors are in contact with IIF within the scope of testing and data management, and performing as an agent of NHSC in the operations of contracted duties. Information reported externally will be handled within the constraints of HRSA rules and FOIA.

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: National Health Service Corps Information Systems / Customer Support Systems

17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:

BCHDANET is the system of record and supports the following activities: NHSC day to day, Site designation, HIPSA scoring, Operations for the Native Hawaiian Scholarship Program. By statute, the agency collects information containing IIF from application and school data. Moreover, BCHDANET stores census and HPSA information. BCHDANET disseminates the following information: vacancy list, financial, vacancy approval, awards, site approval, NHSC participant data.

BHCDANET is an automated management information system designed to meet the special data maintenance and reporting requirements of programs such as the Community Health Center Program (CHC), Migrant Health Center Program (MHC), Health Care for the Homeless Program (HCH), National Health Service Corps (NHSC), and Health Professional Shortage Areas (HPSA). As appropriate, BHCDANET may also be developed further to support the information needs of other programs within DHHS/HRSA. The following is a short description of each of the programs:

- Community Health Center (CHC) Program: is a federal grant program to provide for primary and preventive health care services in medically-underserved areas throughout the U.S. and its territories. Using BHCDANET you can search Community Health Center Sites to find locations at which to receive affordable health care.
- Migrant Health Center (MHC) Program: The Migrant Health Center (MHC) program provides a broad array of medical and support services to migrant and seasonal farm workers and their families.
- Health Care for the Homeless program (HCH): HCH grantees to improve the health status and outcomes for homeless individuals and families by improving access to primary health care, mental health services, and substance abuse treatment. Access is improved through outreach, case management, and linkages to services such as housing, benefits, and other critical supports.
- National Health Service Corps (NHSC) Program: The National Health Service Corps (NHSC) is committed to improving the health of the Nation's underserved. NHSC Also, Recruit and retain the right health professionals to deliver health care in underserved communities often involves developing and preparing sites and communities, and looking for innovative solutions.
- Health Professional Shortage Areas (HPSAs) Program: The Shortage Designation Branch in the HRSA Bureau of Health Professions National Center for Health Workforce Analysis develops shortage designation criteria and uses them to decide whether or not a geographic area or population group is a Health Professional Shortage Area or a medically Underserved Area or population.

The goal of BHCDANET is to provide all of its potential users with timely and accurate information in a highly flexible manner. As a database management system, it seeks to avoid the problems associated with maintenance of separate, duplicative files at the Regional and Central Offices; e.g., lag time in performing information updates or delays in disseminating printed (hard-copy) reports. Through a centralized database, BHCDANET allows for consistent storage and retrieval of management information across all user groups.

The submission of personal information is mandatory.

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: National Health Service Corps Information Systems / Customer Support Systems

- 18 Describe the consent process:

The applicant fills out a release form when submitting the application. Other issues pertaining to release of information are followed within the constraints of HRSA operations and FOIA rules.
- 19 Does the system host a website?

No
- 20 Does the website have any information or pages directed at children under the age of thirteen?

No
- 21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?

Yes
- 22 Are there technical controls present?

Yes
- 23 Describe the IIF security controls:

BCHDANET received Privacy Impact Assessment in October 2006. BCHDANET relies on mainframe security controls provided by the NIH managed GSS. The NIH implements firewalls, and network intrusion detection to secure its facilities. BCHDANET has no Web-based interface. BCHDANET has no non-HRSA end-users. Usernames and passwords are required to access the system. Access to the NIH office space is controlled with a building pass card swipe scanner.

The BCHDANET system supports HRSA internal user groups (employees and contractors) that are controlled by permissions, rights, and level of access. RACF is employed to control access by authorized users to protected BCHDANET resources. RACF identifies and verifies users during system and resource access, validates user's authority to access data, and logs and reports attempts of unauthorized access. The NIH RACF system administrator manages registration of user requests for access to BCHDANET computing environment and the Titan MVS computing environment, including user ID and password management.

User - Security warning at logon, Technical - security table to login (in process), mainframe security protocol, Physical – NIH data center provides security. Contractor usage is periodically checked and updated.
- 24 Sr Official of Privacy Signature:

Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: National Health Service Corps Information Systems / Payments Management System

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	National Health Service Corps Information Systems / Payments Management System
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Nov 3, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-06-02-1420-00
7 Privacy Act System of Records (SOR) Number:	09-15-0068
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	National Health Service Corps Information Systems/ Payments Management System
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Diane E. Culkin
12 Provide an overview of the system:	<p>The financial management system processes all Division of National Health Corps stipend payroll, other reasonable education costs payments for scholarship recipients of the National Health Service Corps Scholarship Program (465 scholars), Nursing Scholarship Program (400 scholars) and Native Hawaiian Scholarship Program (20 scholars). This system also reports data required for accounting and tax purposes, leading to follow-on funding of the scholarship programs. The financial management system is crucial to HRSA's ability to pay scholars and support them throughout their time in school as part of this program.</p> <p>New</p>
13 Indicate if the system is new or an existing one being modified:	New
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	<p>Output data to make financial payments flows to the Treasury via an ACH file. Financial payment information flows to the DHHS Program Support Center via a Form 650 data file format for entry in the CORE, and subsequently UFMS, financial management systems.</p> <p>The scholarship payroll management system handles the collection of source documents (demographic, education, financial and obligation), determination of all payment categories, gross to net calculations, and interfaces with all the internal and external systems required to ensure the accurate tracking and disbursement of funds and maintenance of all related IT systems. The system is kept current both for routine payments and for changes to pay calculations. The data is viewed by individual and institutional users only as it pertains to them. The system keeps track of all earnings, payments on behalf of scholars and taxes and maintains a historical record of all activities in accordance with Government records retention regulations, policies and procedures.</p>
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	<p>The information collected contains IIF and the submission of personal information is mandatory in order to effect payment of the scholarship.</p>

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: National Health Service Corps Information Systems / Payments Management System

18 Describe the consent process:	The Government will provide the initial data load and all data input of changes and additions to scholar and institution payment source documents such as Direct Deposit and W-4 information. When scholarship awards are made, data will be transmitted via flat file. Upon completion of a scholarship application, all prospective students are informed that their personal information shall be used in the determination of scholarship award, and subsequent payment of stipend, tuition and other reasonable costs. Individuals not consenting to such notices simply opt out of the scholarship opportunity. These individuals are not notified of system changes as they do not pertain to the scholars but to the administrators of the system.
19 Does the system host a website?	Yes
20 Does the website have any information or pages directed at children under the age of thirteen?	No
21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?	Yes
22 Are there technical controls present?	Yes
23 Describe the IIF security controls:	<ul style="list-style-type: none">- All personnel with production access undergo a background check and will only be provided access to the production systems when it is necessary to complete their tasks.- All data transmitted to/from the network is protected. Data is first encrypted using the triple-DES (online banking) standard, and then transmitted through secure network communication links. Data is held in its raw format on the hard drives, while passwords are encrypted.- Data is retained through Continuation of Operations Procedures (COOP). Backup versions of data will be supported through a redundant, geographically removed, asynchronously replicated backup installation. Procedures for the activation of the backup installation will be documented and backup activation exercises will be performed semi-annually. <p>To implement this payroll, Lyceum designed and erected security boundaries around the payroll model, operations, data, users and interfaces using several mechanisms:</p> <ul style="list-style-type: none">- Partition ID - the HRSA Payroll Model is partitioned to allow only HRSA registered users access to HRSA system elements. Access to the HRSA Payroll Model is eliminated for any Lyceum users operating within the Base Payroll Model. Elements within the HRSA Payroll Model will simply not appear for any non-registered users.- Agency Role - The HRSA payroll has five (5) separate payee classes from administrator to self-service user which define the computations, views and other functionality that the user has access to. The HRSA Systems Administrator has assigned which registered users may access which policy elements within the HRSA Payroll Model.- User ID - Within the user community, user identifications limit the access of registered users to data elements of colleagues within other departments, or tiers of the organization.- Session ID - Each user session is identified and logged to enable tracing in the future. Transactions are captured, time-stamped and logged by user for later analysis in the event of a security breach from within the organization.
24 Sr Official of Privacy Signature:	Cheryl Dammons
25 Sr Official of Privacy Signoff Date:	Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Office Automation Business Process Re-engineering/Commitment Registry

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question	Response
1 System:	Office Automation Business Process Re-engineering/Commitment Registry
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Oct 17, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	N/A
7 Privacy Act System of Records (SOR) Number:	09-15-0071
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Office Automation Business Process Re-engineering/Commitment Registry
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	George Anna Horton
12 Provide an overview of the system:	This investment will provide automated procedures for the recording, monitoring, and tracking of commitment and obligation transactions against allowances, and to determine the availability of funds. The investment will provide standard and ad hoc reporting capabilities to support analysis of funds and fund status reporting.
13 Indicate if the system is new or an existing one being modified:	New
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	Yes
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	IIF will be shared with contractors who will develop the commitment register; and users who are internal to HRSA.
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	HRSA will collect budget and accounting transactions which includes allowance (funding); commitments (reservations of funds); obligations (uses of funds); and the related accounting information. Contained in the accounting information are document numbers which for some transactions is comprised of a prefixed social security number. The information is collected to facilitate the tracking and monitoring of each commitment and obligation against allowances (available amounts). The social security number is collected by the program office. The program office is the source of commitment information which includes the prefixed social security number as a document number. The obligation accounting information will be obtained from the Unified Financial Management System; this data includes the prefixed social security number. Data will also include the names of federal payment recipients (e.g., individuals and organizations). The system will contain IIF which is derived from HRSA and Program Support Center systems.

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Office Automation Business Process Re-engineering/Commitment Registry

- 18 Describe the consent process:

The commitment register will be populated with information previously processed through HRSA program/administrative systems (e.g., scholarships, training, travel, contracts, grants, etc.). Such systems include: HRSA Electronic Handbooks, PRISM, GovTrip, Unified Financial Management System, HRSA Scholarship. Some records will contain names and social security numbers. None of the records containing names and social security numbers originate with the commitment register. All of the above systems are source systems for the PSCs UFMS accounting system. The PSC provides an extract for all OPDIVS for which it provides accounting services. The sharing of this data is inherent to our agreement for accounting services with the PSC. The commitment register is to complement the functionality of UFMS by providing a tool to capture commitments that will be reconciled to obligations and disbursements contained in UFMS. Access to the data in UFMS is restricted to security-cleared, approved role-based users. It is intended that the users of the CR will be restricted to HRSA security-cleared approved role-based users. The CR will reside on the intranet as maintained by OIT/GSS. Password and user register along with warning banners regarding privacy protection will also be maintained by HRSA OIT and the system administrator. Individuals are notified how their IIF will be used or shared by the source systems.
- 19 Does the system host a website?

Yes
- 20 Does the website have any information or pages directed at children under the age of thirteen?

No
- 21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?

Yes
- 22 Are there technical controls present?

Yes
- 23 Describe the IIF security controls:

Intranet Access to the system will be limited to those who currently have access to IIF data (e.g., social security numbers and names); and who are required to handle work that includes such data. This system is currently in the planning stage.
- 24 Sr Official of Privacy Signature:

Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Nov 8, 2006

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Sentinel Centers Network

1

The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer “No” to that question.

2Summary of PIA Required Questions

Question

Response

- 1 System:

Sentinel Centers Network
- 2 Is this a new PIA?

Yes
- 3 If this is an existing PIA, please provide a reason for revision:

Initial PIA Migration to ProSight
- 4 Date of this Submission:

Nov 1, 2006
- 5 OPDIV Name:

HRSA
- 6 Unique Project Identifier (UPI) Number:

009-15-01-06-02-1460-00
- 7 Privacy Act System of Records (SOR) Number:

N/A
- 8 OMB Information Collection Approval Number:

N/A
- 9 Other Identifying Number(s):

N/A
- 10 System Name:

Sentinel Centers Network
- 11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:

Amisha Pandya, M.H.S. / Vanessa Watters, MHA
- 12 Provide an overview of the system:

The Primary Care Surveillance System is designed to collect data from Participating Health Centers, and enable members of the contractor's (MPHI) data analysis team to clean, standardize, analyze, and report on this data. There are approximately 38 participants submitting data and approximately 6 authorized MPHI staff accessing the data. The data repository is located at the contractor's offices in Okemos, Michigan. Data is stored on Microsoft network drives and access is controlled by use of Microsoft directory permissions, firewalls, and physical access controls. The system comprises a variety of computers including network servers, application servers, workstations and Internet servers. The MPHI staff is responsible for collecting, cleaning, standardizing, and analyzing the raw data obtained from the centers. The MPHI staff also develops and prepares reports for both HRSA Bureau of Primary Health Care and for the individual participating centers. The individual centers with MPHIs assistance are responsible for extracting the raw data from their practice management and electronic medical record systems and submitting it to MPHI for analysis.
- 13 Indicate if the system is new or an existing one being modified:

Existing
- 14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?

Yes
- 15 Is the system subject to the Privacy Act?

Yes
- 16 If the system shares or discloses IIF please specify with whom and for what purpose(s):

Do not share or disclose IIF externally

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

- 17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:
- The System is designed to collect de-identified information on users and patient visits, which the contractor (MPHI) will use to produce reports and profiles of each Center. (The data set collected is outlined below)

The IIF data collected by the contractor is for the most part unrequested data the submitting centers have been unable to delete from the requested data submissions. The only requested data submission of IIF is in the form of patient identification numbers used to track patient care within and across periods.

The requested data submissions are:

User Demographic Core Data Element Code List

1.Health center and delivery site identifiers:

2.Unique user identifier: This must be a code that contains no personally identifiable information (e.g., not a social security number or based on name or address). The identifier should be unique within the information system. Let us know if your organization gives different identifiers to the same person if they visit multiple delivery sites. We will assume that this is NOT the case (i.e., that one identifier is used for all delivery sites) unless you tell us otherwise. The health center should retain a look-up table that enables it to link the randomly generated identifier with a specific patient.

3.Month and year of birth: Network participants will be instructed to submit birth dates as month and year of birth only. Record 999999 for unknown. For example, if date of birth is May 8, 1932, then this field will be: 193205.

4.Gender: This will be reported in three categories: Male (“M”), Female (“F”), and Unreported (“U”). The category “Unreported” has been added to account for missing data.

5.Ethnicity: The following categories will be reported for ethnicity (consistent with Census 2000):

01=No, not Spanish/Hispanic/Latino

02=Yes (unspecified Spanish/Hispanic/Latino origins)

03=Yes, Mexican, Mexican American, Chicano

04=Yes, Puerto Rican

05=Yes, Cuban

06=Yes, other Spanish/Hispanic/Latino

99=Unreported or refused to report

The categories “Unreported or refused to report” and “Yes (unspecified Spanish/Hispanic/Latino origins)” have been added to account for missing or incomplete/un-detailed data.

6. Race codes: The following categories will be reported for race (multiple race categories will be permitted; consistent with Census 2000):

01=White

02=Black/African American

03=American Indian/Alaskan Native

04=Asian Indian

05=Asian Chinese

06=Asian Filipino

07=Asian Japanese

08=Asian Korean

09=Asian Vietnamese

10=Other Asian

11=Native Hawaiian

12=Guamanian or Chamorro

13=Samoan

14=Other Pacific Islander

15=Some Other Race

99=Unreported or refused to report

The category “Unreported or refused to report” has been added to account for missing data.

7.Poverty Level: The following categories will be reported for poverty status:

1=<100% of the federal poverty line

2=101% - 200% of the federal poverty line

3=>200% of the federal poverty line

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HHS Privacy Impact Assessment (PIA) Summary

HRSA: Sentinel Centers Network

9=Unknown
8.Housing/Permanence Status: This will identify users who are

- 1=migrant or seasonal workers
- 2=homeless
- 3=living in public housing
- 4=renting or own home or apartment
- 5=all other
- 9=unknown

9.Level of education: The following categories will be reported for level of education (for users 18 years of age and older):
1=Less than high school
2=High school diploma/GED
3=Some college
4=College graduate
5=Some post-graduate education
9=Unknown
10.Original Patient Registration Date: Year and month of the original patient registration date. Record 999999 for unknown.

Clinical Measures Core Data Element Code List
This provides data for the targeted clinical measurements. You can provide only the most recent (two most recent for A1C) or all measurements taken in the year. If providing all the measurements, please provide the dates they were taken.

- 1.Health center and delivery site identifiers: See user demographic list.
- 2.Unique user identifier: See user demographic list.
- 3

18 Describe the consent process:

Each health center is responsible for obtaining consent from their patients and a HIPAA Business Associate Agreement between MPHI and the health center provides for extension of this consent to MPHI.

- 19 Does the system host a website?
- 20 Does the website have any information or pages directed at children under the age of thirteen?
- 21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?
- 22 Are there technical controls present?
- 23 Describe the IIF security controls:

Yes
No
Yes
Yes
The SCN Participating Centers perform data extractions from their existing systems. Though the data sets requested are designed to exclude IIF a significant number of the Centers have been unable to produce “clean” data sets and thus provide the contractor with raw data extractions which includes IIF. Therefore the contractor treats all data submissions as “privacy sensitive” in nature and follows it’s administrative, technical and physical controls for such data. This includes requiring all data to be encrypted during transfer, storing data on secured network drives and only allowing appropriate project staff access to data files. All working data files are de-identified. All project staff sign confidentiality agreements and no data is allowed to leave the MPHI campus. For detail see attached MPHI-Sentinel Centers Network Privacy & Security Protocols, MPHI – Confidentiality and Privacy Practices, and EXHIBIT A Privacy Requirements for subcontractors to MPHI Privacy sensitive Projects.
Cheryl Dammons
Nov 8, 2006

- 24 Sr Official of Privacy Signature:
- 25 Sr Official of Privacy Signoff Date:

Note on IIF: Any question about IIF seeks to identify any, and all, personal information associated with the system. This includes any IIF, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Note: If no IIF is contained in the system, please answer the remaining required questions, then promote the PIA to the Sr. Privacy Official who will authorize the PIA. Note: If this system contains IIF, all remaining questions on the PIA Form Tabs must be completed prior to signature and promotion.

HHS Privacy Impact Assessment (PIA) Summary

HRSA: Upgrade, Replacement, Consolidation of Systems Supporting Campus Based Branch Programs

1	
The following required questions represent the information necessary to complete the PIA Summary for transmission to the Office of Management and Budget. Note: If a question or its response is not applicable, please answer "No" to that question.	
2	Summary of PIA Required Questions

Question	Response
1 System:	Upgrade, Replacement, Consolidation of Systems Supporting Campus Based Branch Programs
2 Is this a new PIA?	Yes
3 If this is an existing PIA, please provide a reason for revision:	Initial PIA Migration to ProSight
4 Date of this Submission:	Nov 3, 2006
5 OPDIV Name:	HRSA
6 Unique Project Identifier (UPI) Number:	009-15-01-06-02-9225-00
7 Privacy Act System of Records (SOR) Number:	N/A
8 OMB Information Collection Approval Number:	N/A
9 Other Identifying Number(s):	N/A
10 System Name:	Upgrade, Replacement and Consolidation of Systems Supporting Campus Based Branch Programs- BHP
11 System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:	Jim Essel
12 Provide an overview of the system:	<p>This investment will move the Campus Based Branch from its current reliance on a number of systems that are reaching (or have reached) the end of their useful life, are not integrated, and do not meet current security requirements to an integrated, web-based system that more efficiently supports the work of the Branch. Over the next few years, CBB will analyze its business requirements, review its existing systems, and develop and implement a system development plan for a modern, web-based application to support its business. As part of the planning process, CBB will consider reuse of existing systems (e.g., Nursing Information System and EHBs) that support similar activities in addition to new development. This investment includes two IT systems: The Web Reporting System (WRS) and a document management system (DMS). The WRS is a web-based application that stores data submitted by schools participating in CBB programs. The document management system is an internal system that assists CBB with it document workflow.</p> <p>Existing</p>
13 Indicate if the system is new or an existing one being modified:	Existing
14 Does/Will the system collect, maintain (store), disseminate and/or pass through IIF within any database(s), record(s), file(s) or website(s) hosted by this system?	Yes
15 Is the system subject to the Privacy Act?	No
16 If the system shares or discloses IIF please specify with whom and for what purpose(s):	<p>The information is used by CBB to contact program officials at participating institutions. IFF is also shared with contractors on disability and write-off cases.</p> <p>The indicative information in the WRS contains program status codes, geographic identifiers, general program information, and program contact person information for all CBB programs. It utilizes a web interface written in ASP, JavaScript and HTML and a MS Access database. The web interface allowed program participants to update their contact person information using their program's identification number. An Administrative Web Interface allowed CBB staff to update, edit, add, search and delete records in the database. In 2005 HRSA OIT determined that the security risk associated with the system was unacceptable and the system was taken offline. CBB negotiated to place the system on HRSA's intranet system so that CBB staff could continue to utilize the administrative interface until the system could be updated or replaced, but general public access to the system was denied. The DMS will contain some similar information as contained in the WRS, as well as, correspondence from officials of the institutions.</p>
17 Describe in detail the information the agency will collect, maintain, or disseminate and why and for what purpose the agency will use the information:	

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HHS Privacy Impact Assessment (PIA) Summary

HRSA: Upgrade, Replacement, Consolidation of Systems Supporting Campus Based Branch Programs

- 18 Describe the consent process:

Modifications, changes and the use of its information within the WRS are announced on its homepage. So immediately, users are notified in a summary about changes made. If the user wants more detailed information about the changes, a link is provided on the homepage for users to click and get detailed modification information.
- 19 Does the system host a website?

Yes
- 20 Does the website have any information or pages directed at children under the age of thirteen?

No
- 21 Are there policies or guidelines in place with regard to the retention and destruction of IIF?

Yes
- 22 Are there technical controls present?

Yes
- 23 Describe the IIF security controls:

Currently the IIF information within CBB systems can only be accessed by CBB staff and its contractors. Remedies to secure IIF are being developed. Contact information about financial aid administrators was available from our website. This ability has now been taken offline. A new contact information system is being developed and imbedded into the WRS, so that access can only be done by user ID and password. CBB staff and its contractors have read/write/modify access to the WRS and the DMS. User Ids and passwords are required for access. The data is not stored encrypted, but the WRS data is downloanded encrypted. Currently, no locks or keycards are used to protect PII printouts.
- 24 Sr Official of Privacy Signature:

Cheryl Dammons
- 25 Sr Official of Privacy Signoff Date:

Nov 8, 2006

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